Specification of Live Media Ingest
Technical Specification, 9 August 2019

This version:
https://dashif.org/guidelines/

Issue Tracking:
GitHub

Editors:
DASH-IF IOP Ingest TF

Table of Contents

1 Introduction

2 Conventions and Terminology

3 Media Ingest Workflows and Interfaces

4 General Ingest Protocol Behavior

5 Ingest Interface 1: CMAF Ingest Protocol Behavior
   5.1 CMAF Ingest General Considerations (informative)
   5.2 General Protocol and Track Format Requirements
   5.3 Requirements for Formatting Media Tracks
   5.4 Requirements for Signalling Switching Sets
   5.5 Requirements for Timed Text, Captions and Subtitle Tracks
   5.6 Requirements for Timed Metadata Tracks
   5.7 Requirements for Receiving and Ingest source Failover and Connection Error Handling

6 Ingest Interface 2: DASH and HLS Ingest Protocol Behavior
   6.1 General requirements
      6.1.1 Industry Compliance
      6.1.2 HTTP sessions
      6.1.3 Unique segment and manifest naming
      6.1.4 DNS lookups
      6.1.5 Ingest source identification
      6.1.6 Additional Failure behaviors
   6.2 HLS specific requirements
      6.2.1 File extensions and mime-types
      6.2.2 Upload order
      6.2.3 Encryption
      6.2.4 Relative paths
      6.2.5 Resiliency
   6.3 DASH-specific requirements
6.3.1  File extensions and MIME-types
6.3.2  Relative paths

7  **Illustrative Example of using CMAF and DASH ingest specification (informative)**
7.1  Example 1 with CMAF ingest and a just-in-time packager
7.2  Example 2 low latency dash with an open source encoder and packager and a combination of interface 1 and 2

8  **IANA Considerations**

9  **Acknowledgements**

10  **References**
10.1  URL References

**Conformance**

**Index**
Terms defined by this specification

**References**
Normative References

---

**CHANGE REQUEST**

<table>
<thead>
<tr>
<th>DASH-IF IOP</th>
<th>CR</th>
<th>rev</th>
<th>-</th>
<th>Current version:</th>
<th>V0.9</th>
</tr>
</thead>
<tbody>
<tr>
<td>Status: Draft</td>
<td>Internal Review</td>
<td>X</td>
<td>Community Review</td>
<td>Agreed</td>
<td></td>
</tr>
</tbody>
</table>

**Title:** DASH-IF Specification of Live Media Ingest

**Source:** DASH-IF IOP Ingest TF

**Supporting Companies:** CenturyLink, Qualcomm Inc., MediaExcel, Harmonic, Bitmovin, Hulu, Microsoft, Unified Streaming, Akamai, Comcast, AWS Elemental, Sony, Tencent, <others>

**Category:** A  
**Date:** 2019-06-14

*Use one of the following categories:
C (correction)
A (addition of feature)
B (editorial modification)*
<table>
<thead>
<tr>
<th><strong>Reason for change:</strong></th>
<th>Improve interoperability between cloud and server side streaming entities. In particular, between ABR live encoders, origin servers and content delivery networks.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Summary of change:</strong></td>
<td>This document specifies protocol interfaces for live ingest/egress of media content. It can be used between live ABR encoders, streaming origins, packagers and content delivery networks. It features support for redundant workflows with failover support and timed metadata.</td>
</tr>
<tr>
<td><strong>Consequences if not approved:</strong></td>
<td>Inconsistent implementations, Poor interoperability, less rich metadata and ad insertion support</td>
</tr>
<tr>
<td><strong>Sections affected:</strong></td>
<td>New Independent Document</td>
</tr>
<tr>
<td><strong>Other comments:</strong></td>
<td>Feedback during community review is welcome.</td>
</tr>
</tbody>
</table>

**Disclaimer:**

This document is not yet final. It is provided for public review until the deadline mentioned below. If you have comments on the document, please submit comments by one of the following means:

- at the github repository: https://github.com/Dash-Industry-Forum/Ingest/issues, or
- dashif+iop@groupspaces.com with a subject tag [Ingest]
- or for public community review comments: community review gitreport comments

Please add a detailed description of the problem and the comment.

Based on the received comments a final document will be published latest by the expected publication date below, integrated in a new version of DASH-IF IOP, if the following additional criteria are fulfilled:

- All comments from community review are addressed
- The relevant aspects for the Conformance Software are provided
- Verified IOP test vectors are provided

**Commenting Deadline:** July 31st, 2019

**Expected Publication:** August 31st, 2019
Abstract

This document presents the DASH-IF Live Media Ingest Protocol Specification. Two protocol interfaces are defined. The first, interface 1, CMAF ingest, is based on fragmented MPEG-4 as defined in the common media application track format (CMAF). The second interface is based on MPEG DASH and HLS. Both Interfaces use the HTTP POST Method to transmit media objects from the ingest source to the receiving entity. Examples of live streaming workflows using these protocol interfaces are also presented. The protocol interfaces also support carriage of timed metadata and timed text. Guidelines for redundancy and failover are also included.

Copyright Notice and Disclaimer

Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

This is a document made available by DASH-IF. The technology embodied in this document may involve the use of intellectual property rights, including patents and patent applications owned or controlled by any of the authors or developers of this document. No patent license, either implied or express, is granted to you by this document. DASH-IF has made no search or investigation for such rights and DASH-IF disclaims any duty to do so. The rights and obligations which apply to DASH-IF documents, as such rights and obligations are set forth and defined in the DASH-IF Bylaws and IPR Policy including, but not limited to, patent and other intellectual property license rights and obligations. A copy of the DASH-IF Bylaws and IPR Policy can be obtained at http://dashif.org/.

The material contained herein is provided on an AS IS basis and to the maximum extent permitted by applicable law, this material is provided AS IS, and the authors and developers of this material and DASH-IF hereby disclaim all other warranties and conditions, either express, implied or statutory, including, but not limited to, any (if any) implied warranties, duties or conditions of merchantability, of fitness for a particular purpose, of accuracy or completeness of responses, of workmanlike effort, and of lack of negligence. In addition, this document may include references to documents and/or technologies controlled by third parties. Those third party documents and technologies may be subject to third party rules and licensing terms. No intellectual property license, either implied or express, to any third party material is granted to you by this document or DASH-IF. DASH-IF makes no warranty whatsoever for such third party material.

1. Introduction

The main goal of this specification is to define the interoperability point between an Ingest Source and a Receiving entity that typically reside in the cloud or the network. This specification does not impose any new constraints or requirements to clients that consume streams using any defined streaming protocol, with a preference for [MPEG-DSH].

Live media ingest happens between an Ingest source, such as a Live encoder and a Receiving entity. Examples of such a Receiving entity could be a media packager, streaming origin or a Content Delivery Network. The combination of ingest sources and receiving entities is common in practical video streaming deployments. In such deployments, media processing functionality is distributed between the ingest source and receiving entities.

Nevertheless, in such deployments, interoperability between ingest sources and downstream processing entities can sometimes be challenging. This challenge comes from the fact that there are multiple levels of interoperability to be considered. This challenge also comes from the fact that each vendor has a different view of what is expected/preferred as well as how various technical specifications apply.

For example, the network protocol for transmission of data and the setup of the connectivity are important. This includes schemes for establishing the ingest connection, handling disconnects and failures, providing procedures for reliably sending and receiving the data, and timely resolution of hostnames.
A second level of interoperability lies with the media container and coded media formats. The Moving Picture Experts Group defines several media container formats such as [ISOBMFF] and [MPEG2TS] which are widely adopted and well supported. However, these are general purpose formats, targeting several different application areas. To do so, they provide many different profiles and options. Detailed interoperability is often achieved through other application standards such as those for broadcast, storage, or video on demand. For interoperable live media ingest, this document provides guidance on how to use [ISOBMFF] and [MPEGCMAF] for formatting the media content.

In addition, the codec and profile used, e.g. [MPEGHEVC] are important interoperability points that themselves also have different profiles and different configurations. This specification provides some guidance on how encoded media should be represented and transmitted.

A third level of interoperability, lies in the way metadata is inserted in streams. Live content often needs such metadata to signal opportunities for ad insertion, program information, or other attributes like timed graphics or general information relating to the broadcast. Examples of such metadata formats include [SCTE35] markers which are often found in broadcast streams and other metadata such as ID3 tags [ID3v2] containing information relating to the media presentation.

In fact, many more types of metadata relating to the live event might be ingested and passed on to an over-the-top (OTT) streaming workflow.

Fourth, for live media, handling the timeline of the presentation consistently is important. This includes sampling of the media, avoiding timeline discontinuities and synchronizing timestamps attached by different ingest sources such as audio and video. In addition, media timeline discontinuities must be avoided as much as possible during normal operation. Further, when using redundant ingest sources, the ingested streams must be accurately synchronized in a sample accurate manner. Last, streams may need to be started at the same time so as to avoid misalignment between audio and video tracks.

Fifth, in streaming workflows it is important to have support for failovers of both the ingest sources and Receiving entities. This is important to avoid interruptions of 24/7 live services such as Internet television where components may fail occasionally.

In practical deployments, multiple ingest sources and Receiving entities are often used. This requires that multiple ingest sources and receiving processing entities work together in a redundant workflow where some of the components might fail. Well defined failover behavior will help interoperability.

This document provides a specification for establishing these interoperability points. The approaches are based on known standardized technologies that have been tested and deployed in several large-scale streaming deployments.

To address this interoperability point, two key interfaces and their protocol specification have been identified. The first, CMAF Ingest, mainly functions as an ingest format to a packager or active media processor, while the second works mainly to ingest media streaming presentations to origin servers, cloud storage or Content Delivery Networks.

The section on interfaces and profiles provides more background and motivation around these two interfaces that both use HTTP POST.

We further motivate the specification in this document supporting HTTP 1.1 [RFC7235] and [ISOBMFF]. We believe that Smooth streaming MS-SSTR and HLS [RFC8216] have shown that HTTP usage can survive the Internet ecosystem for media delivery. In addition, HTTP-based ingest fits well with current HTTP based streaming protocols including [MPEGDASH]. In addition, there is good support for HTTP middle-boxes and HTTP routing available making it easy to debug and trace errors. The HTTP POST provides a push-based method for delivering the live content when it becomes available.

Regarding the transport protocol, in future versions, alternative transport protocols could be considered advancing over HTTP 1.1 or TCP. We believe the proposed media format and protocol interfaces will provide the same benefits with other transport protocols. Our view is that for current and near future deployments, using [RFC7235] is still a good approach.
The document is structured as follows, section 3 presents the conventions and terminology used throughout this document. Section 4 presents use cases and workflows related to media ingest and the two profiles/interfaces presented. Sections 5-9 will detail the two different protocol interfaces defined.

2. Conventions and Terminology

The following terminology is used in the rest of this document.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14, RFC 2119 [RFC2119].

ABR : Adaptive Bit-Rate

CMAF Header : CMAF track header defined in [MPEGCMAF]

CMAF Media object : CMAF media object defined in [MPEGCMAF], a cmaf chunk, segment, fragment or track

CMAF fragment : CMAF fragment defined in [MPEGCMAF]

CMAF chunk : CMAF chunk defined in [MPEGCMAF]

CMAF segment : CMAF segment defined in [MPEGCMAF]

CMAFstream : byte-stream that follows the CMAF track format structure format defined in [MPEGCMAF] between ingest source and receiving entity. Due to error control behavior such as retransmission of CMAF fragments and Headers a cmaf stream may not fully conforming CMAF track file. The receiving entity can filter out retransmitted fragments and headers and restore a valid CMAF track file from the cmaf stream.

CMAF Track : CMAF Track defined in [MPEGCMAF]

CMAF Ingest : Ingest interface defined in this specification for push based [MPEGCMAF]

Connection : A connection interface between two hosts, typically the media Ingest source and Receiving entity.

DASH Ingest : Ingest interface defined in this specification for push based [MPEGDASH]

Event Received Time : The time a metadata item is seen/observed by the receiving entity for the first time, e.g. an announcement/avail. The time the event is received (event received time). This could for example be the time an EventMessageBox becomes available.

Event Presentation Time : The time a metadata event is applied to a stream (if applicable), correspond to the presentation_time of a dash event [MPEGDASH] (event presentation time)

HLS Ingest : Ingest interface defined in this specification for push based [RFC8216]

HTTP POST : Command used in the Hyper Text Transfer Protocol for sending data from a source to a destination [RFC7235]

Ingest source : A media source ingesting live media content to a receiving entity, it is typically a live encoder but not restricted to this, e.g. it could be a stored media resource.

Ingest Stream : The stream of media pushed from the ingest source to the Receiving entity

ISOBMFF:

the ISO Base Media File Format specified in [ISOBMFF]

Live stream session : The total live stream for the ingest relating to a broadcast event.
**Live encoder**: Entity performing live encoding of a high quality Ingest stream, can serve as ingest source

**Manifest objects** Objects ingested that represent streaming manifest e.g. .mpd in MPEG DASH, .m3u8 in HLS

**Media objects** Objects ingested that represent the media, and or timed text, or other non manifest objects, typically these are CMAF addressable media objects such as CMAF chunks, fragments or segments.

**Media fragment** Media fragment, combination of "moof" and "mdat" in ISOBMFF structure (MovieFragmentBox and mediaDataBox), can be a CMAF fragment or chunk. A media fragment may include top level boxes defined in CMAF fragments such as emsg, prft and styp.

**Objects** [Manifest objects] or Media objects

**Publishing point**: Entry point used to receive an ingest stream, consumes/receives the incoming media [Ingest stream], typically via a publishing URL setup to receive the stream

**POST_URL**: Target URL of a POST command in the HTTP protocol for posting data from a source to a destination. The POST_URL is known by both the ingest source and receiving entity. The POST_URL basepath is setup by the receiving entity. The ingest source may add extended paths to signal track names, fragment names or segment names.

**Receiving entity**: Entity used to receive the media content, receives/consumes an [Ingest stream].

**Streaming presentation** set of Objects composing a Streaming presentation based on a streaming protocol such as for example [MPEGDASH]

**Switching set**: Group of tracks corresponding to a switching set defined in [MPEGCMAF] or an adaptation-set in [MPEGDASH]

**TCP**: Transmission Control Protocol (TCP) as defined in [RFC793]

**RTP**: Real Time Protocol

**OTT**: Over the top transmission, i.e. HTTP based video streaming

**moof**: The MovieFragmentBox "moof" box as defined in the ISOBMFF base media file format [ISOBMFF] that defines the index information of samples in a fragment.

**ftyp**: The FileTypeBox "ftyp" box as defined in the ISOBMFF [ISOBMFF]

**moov**: The container box for all metadata MovieBox "moov" defined in the ISOBMFF base media file format [ISOBMFF]

**mdat**: The mediaDataBox "mdat" box defined in ISOBMFF [ISOBMFF].

**mfra**: The movieFragmentRandomAccessBox "mfra" box defined in the ISOBMFF [ISOBMFF] to signal random access samples (these are samples that require no prior or other samples for decoding) [ISOBMFF].

**tfdt**: The TrackFragmentBaseMediaDecodeTimeBox box "tfdt" defined in [ISOBMFF] used to signal the decode time of the media fragment signalled in the moof box.

**basemediaencodedetime**: Decode time of first sample as signalled in the tfdt box

**mdhd**: The MediaHeaderBox "mdhd" as defined in [ISOBMFF], this box contents information about the media such as timescale, duration, language using ISO 639-2/T [iso-639-2] codes [ISOBMFF]

**eIng**: Extended language tag box "elng" defined in [ISOBMFF] that can override the language information

**nmhd**: The nullMediaHeaderBox "nmhd" as defined in [ISOBMFF] to signal a track for which no specific media header is defined, used for metadata tracks
3. Media Ingest Workflows and Interfaces

Two workflows have been identified mapping to the two protocol interfaces.

The first workflow uses a separate live encoder as **Ingest source** and packager as **Receiving entity**. In this case, interface 1, [MPEGCMAF](https://www.mpeg.org) (CMAF) Ingest may be used. This interface uses [MPEGCMAF](https://www.mpeg.org) to ingest a live encoded stream to the packager. The **Receiving entity** in this case may do the packaging, encryption, or other active media processing. This interface is defined in a way that it will be possible to generate streaming presentation based on [MPEGDASH](https://tools.ietf.org/html/rfc8216) or HLS [RFC8216](https://tools.ietf.org/html/rfc8216) based on information in the ingested stream.

The second workflow, constitutes ingest to a passive delivery system such as a cloud storage or a Content Delivery Network. In this case the stream needs to be formatted as closely as possible to the final stream for consumption by an end client. This interface is defined in the second part, interface 2 **DASH Ingest** or HLS **Ingest**. It enables a HTTP POST based version of these commonly used streaming protocols. In this case, besides the media objects, also manifest objects are ingested by the **Receiving entity**.

Figure 1: Example with **CMAF Ingest**

![Figure 1: Example with CMAF Ingest](image)

Figure 2: Example with **DASH Ingest**

![Figure 2: Example with DASH Ingest](image)

Figure 1 shows an example workflow with live media ingest from an ingest source towards a Receiving entity. In the example, the Receiving entity prepares the final media presentation for the client. The Receiving entity could be a live packager for DASH or HLS streams. The segments of the stream may be requested via a CDN that acts as an intermediate proxy.

Figure 2 shows an example in workflow where content is ingested directly by a Content Delivery Network. The Content Delivery Network enables the delivery to the client, but does not generate the manifests.

A legacy example of a media ingest protocol for the first workflow is the ingest part of the Microsoft Smooth Streaming protocol **MS-SSTR**. This protocol connects live encoders/ingest sources to the Microsoft Smooth Streaming server and to the Microsoft Azure cloud. This protocol has shown to be robust, flexible and easy to implement in live encoders. In addition, it provided features for high availability and server side redundancy.

The DASH-IF **CMAF Ingest** protocol, interface 1, defined in Section 5, advances over the Smooth ingest protocol including lessons learned over the last ten years after the initial deployment of Smooth Streaming in 2009 and several advances on signaling metadata and timed text.

In addition, the current specification incorporates the latest media formats and protocols, making it ready for current and next generation media codecs such as [MPEGHEVC](https://tools.ietf.org/html/rfc8216) and protocols like MPEG-DASH [MPEGDASH](https://tools.ietf.org/html/rfc8216).

The second interface referred as DASH and HLS ingest is included for ingest of media streaming presentations to entities where the media is not altered actively (the second workflow).

A key idea of this part of the specification is to re-use the similarities of MPEG-DASH [MPEGDASH](https://tools.ietf.org/html/rfc8216) and HLS [RFC 8216](https://tools.ietf.org/html/rfc8216) protocols to enable a simultaneous ingest of media presentations of these two formats using common media
fragments such as based on [ISOBMFF] and [MPEGCMAF] formats. In this interface, naming and content type identification via MIME types is important to enable direct processing and storage of the presentation.

The two interfaces are presented separately. This reduces the overhead of the information that needs to be signalled compared to having both interfaces combined into one, as was the case in a draft version of this document. Nevertheless, some instantiations, may still consider combining the two interfaces. An example of this is given at the end of the document in the examples section.

Table 1 highlights some of the key differences and practical considerations of the interfaces. In **CMAF Ingest** Interface 1, the ingest source can be simple as the **Receiving entity** can do many of the operations related to the delivery such as encryption or generating the streaming manifests. In addition, the distribution of functionalities can make it easier to scale a deployment with many live media sources and Receiving entities.

In some cases, an encoder has sufficient capabilities to prepare the final presentation for the client. In that case, content can be ingested directly by a more passive Receiving entity that provides a pass-through functionality. In this case also **Manifest objects** and other client-specific information needs to be ingested. Besides these factors, choosing a workflow for a video streaming platform depends on many other factors. Note that interface 2 could also be used as an output format of a packager.

This specification does not provide guidance on which workflow is best to use in a given scenario. However, the live ingest specification covers the two most suitable interfaces most suitable for workflow ingest.

The best choice for a specific platform depends on many of the use case specific requirements, circumstances and the available technologies.

### Table 1: different ingest use cases

<table>
<thead>
<tr>
<th>Profile</th>
<th>Ingest source</th>
<th>Receiving Entity</th>
</tr>
</thead>
<tbody>
<tr>
<td>CMAF Ingest</td>
<td>Limited overview, simpler encoder, multiple sources</td>
<td>re-encryption, transcoding, stitching, watermarking, packaging</td>
</tr>
<tr>
<td>DASH/HLS Ingest</td>
<td>Global overview, targets duplicate presentations, limited flexibility no redundancy</td>
<td>manifest manipulation, transmission, storage</td>
</tr>
</tbody>
</table>

![Figure 3: workflow with redundant Ingest sources and receiving entities](image)

Finally, Figure 3 highlights another aspect that was taken into consideration for large scale systems with many users. Often content owners would like to run multiple ingest sources, multiple receiving entities and make them available to the clients in a seamless fashion. This approach is already common when serving web pages, and this architecture also applies to video streaming over HTTP. In Figure 3 it is highlighted how one or more Ingest Sources can be
sending data to one or more receiving entities. In such a workflow it is important to handle the case when one ingest source or Receiving entity fails. Both the system and client behavior is an important consideration in practical video streaming systems that need to run 24/7 such as Internet Television. Failovers must be handled robustly and without causing service interruption. This specification details how this failover and redundancy support can be achieved.

4. General Ingest Protocol Behavior

The media ingest follows the following general requirements for both targeted interfaces. The ingest interface uses existing media formats in combination with the HTTP POST method.

1. The **Ingest source** SHALL communicate using the HTTP POST method as defined in the HTTP protocol, version 1.1 [RFC7235]

2. The **Ingest source** SHOULD use HTTP over TLS, if TLS is used it SHALL support at least TLS version 1.2, higher version may also be supported additionally [RFC2818]

3. The **Ingest source** SHOULD repeatedly resolve the hostname to adapt to changes in the IP to Hostname mapping such as for example by using the domain naming system DNS [RFC1035] or any other system that is in place.

4. The **Ingest source** MUST update the IP to hostname resolution respecting the TTL (time to live) from DNS query responses, this will enable better resilience to changes of the IP address in large scale deployments where the IP address of the media processing entities may change frequently.

5. In case HTTP over TLS [RFC2818] is used, basic authentication HTTP AUTH [RFC7617] or TLS client certificates MUST be supported.

6. Mutual authentication SHALL be supported. TLS Client certificates SHALL chain to a trusted CA, or be self signed. Self signed certificates MAY be used, for example, when the ingest source and receiving entity fall under common administration.

7. As compatibility profile for the TLS encryption the ingest source SHOULD support the mozilla intermediate compatibility profile MozillaTLS.

8. In case of an authentication error confirmed by an HTTP 403 response, the ingest source SHALL retry establishing the Connection within a fixed time period with updated authentication credentials, when that also results in error the ingest source can retry N times, after this the ingest source SHOULD stop and log an error. The number of retries N MAY be configurable in the Ingest Source.

9. The **Ingest source** SHOULD terminate the HTTP POST request if data is not being sent at a rate commensurate with the MP4 fragment duration. An HTTP POST request that does not send data can prevent the Receiving entity from quickly disconnecting from the ingest source in the event of a service update.

10. The HTTP POST for sparse data SHOULD be short-lived, terminating as soon as the data of a fragment is sent.

11. The POST request uses a **POST_URL** to the basepath of the publishing point at the Receiving entity and SHOULD use an additional relative path when posting different streams and fragments, for example, to signal the stream or fragment name.

12. Both the **Ingest source** and **Receiving entity** MUST support IPv4 and IPv6 transport.

13. The **Ingest source** SHOULD use a timeout in order of segment duration (1-6 seconds) for establishing the TCP connection. If an attempt to establish the connection takes longer than the timeout, abort the operation and try again.

14. The **Ingest source** SHOULD resend Objects for which a connection was terminated early, or when an error response was received such as HTTP 400 or 403 if the connection was down for less than 3 average segments durations. For connections that were down longer, ingest source can resume sending Objects at the live edge of the live media presentation instead.

15. The **Ingest source** MAY limit the number of retries to establish a new connection or resume streaming after a TCP error occurs to N. This number N MAY be configurable.

16. After a TCP error, the **Ingest source** should perform the following: a. The current connection MUST be closed,
and a new connection MUST be created for a new HTTP POST request. b. The new HTTP POST URL MUST be the same as the initial POST URL for the object to be ingested.

17. In case the Receiving entity cannot process the POST request due to authentication or permission problems, or incorrect path, then it SHALL return a permission denied HTTP 403 with error reason

18. In case the Receiving entity can process the fragment in the POST request body but finds the media type cannot be supported it MAY return an HTTP 415 unsupported media type, otherwise 400 bad request MUST be returned.

19. In case an unknown error happened during the processing of the HTTP POST request a HTTP 400 Bad request SHALL be returned by the Receiving entity

20. In case the receiving entity cannot process a fragment posted due to missing or incorrect init fragment, an HTTP 412 unfulfilled condition MAY be returned, otherwise, in case this is not supported by the system, a HTTP 400 bad request response MUST be returned.

21. The Receiving entity MAY return 50x HTTP response in case of other errors at the server, not particularly relating to the request from the Ingest Source, but due to an error at the receiving entity.

22. In case the receiving entity or publishing point receiving the HTTP POST body is not setup or available an HTTP 404 not found SHOULD be returned to the ingest source.

23. The ingest source SHOULD support the handling of 30x redirect responses.

24. The ingest source and receiving entity SHOULD support gzip based content encoding

5. Ingest Interface 1: CMAF Ingest Protocol Behavior

This section describes the protocol behavior specific to interface 1: CMAF Ingest. Operation of this profile MUST also adhere to the general requirements specified in Section 4 §4 General Ingest Protocol Behavior.

5.1. CMAF Ingest General Considerations (informative)

The binary media format for conveying the media is based on CMAF track constraints as specified in [MPEGCMAF]. A key benefit of this format is that it allows easy identification of stream boundaries, enabling switching, redundancy, re-transmission resulting in a good fit with current Internet infrastructures. Many problems in practical streaming deployment often deal with issues related to the binary media format. We believe that the CMAF track format will make things easier and that the industry is already heading in this direction following recent specifications like [MPEGCMAF] and HLS [RFC8216].

CMAF Ingest assumes ingest to an active Receiving entity, such as a packager or active origin server. However, it can also be used for simple transport of media to an archive, as the combination of CMAF header and CMAF fragments will result in a valid archived CMAF track file. It advances over the ingest part of the Smooth ingest protocol MS-SSTR by only using standardized media container formats and boxes based on [ISOBMFF] and [MPEGCMAF]. In addition, this enables extension towards codecs like [MPEGHEVC] and timed metadata ingest, and ingest of subtitle and timed text streams. The workflow ingesting multiple media ingest streams with CMAF Ingest is illustrated in Figure 4. Discussions on the early development have been documented fmp4git.

Figure 4: CMAF ingest with multiple ingest sources
Figures 5-7 detail some of the concepts and structures. Figure 5 shows the data format structure of the CMAF Track format based on [ISOBMFF] and [MPEGCMAF]. In this format media samples and metadata are interleaved. The MovieFragmentBox `moof` box as specified in [ISOBMFF] is used to signal the information to playback and decode properties of the samples stored in the `mdat` box. The `ftyp` and `moov` box contain the track specific information and is referred to as a CMAF Header in [MPEGCMAF]. The combination of `moof mdat` can be referred as a CMAF fragment or CMAF chunk or a CMAF segment depending on the structure content and the number of `moof mdat` structures in the addressable object.

Figure 5: **CMAF Track** stream:

![CMAF Track stream](image)

Figure 6 illustrates the synchronization model, defined in [MPEGCMAF] which is re-used by this specification. Different bit-rate tracks and/or media streams are conveyed in separate CMAF tracks. By having the boundaries to the fragments time aligned for tracks comprising the same content stream at different bit-rates, bit-rate switching can be achieved. By using a common timeline different streams can be synchronized at the receiver, while they are in a separate CMAF Track, send over a separate connection, possibly from a different Ingest source. For more information on the synchronization model we refer to section 6 of [MPEGCMAF]. For synchronization of tracks coming from different encoders, sample time accuracy is required. i.e. samples with identical timestamp contain identical content.

In Figure 7 another advantage of this synchronization model is illustrated, i.e. the concept of late binding. In the case of late binding, streams are combined on playout/streaming in a presentation (see [MPEGCMAF] 7.3.6). By using the fragment boundaries and a common timeline it can be received by the Receiving entity and embedded in the presentation. Late binding is useful for many practical use cases when broadcasting television content with different types of media and metadata tracks originating from different sources. Also it allows storage of each track separately, and combining them later in a presentation based on user/operator preferences signalled in the manifest (e.g. mpd, m3u8). Contrary to multiplexed content, with late binding the combination of media tracks is decided at playout/streaming. For example, different manifests could reference CMAF tracks, but describe a different composition of media contents by combining CMAF tracks differently.

Note that it is important, as defined in MPEG CMAF, that different CMAF Tracks have the same starting time sharing an implicit timeline. A stream becoming available from a different source needs to be synchronized and time-aligned with other streams ingested.

Figure 6: **CMAF Track** synchronization:
Figure 8 shows the sequence of the protocol. It starts with a DNS resolution (if needed) and an authentication step (using Authy, or TLS certificates) to establish a secure TCP connection. In some private datacenter deployments where nodes are not reachable from outside, a non-authenticated connection may also be used. The ingest source then issues a POST to test that the Receiving entity is listening. This POST contains the `moov + ftyp` box CMAF Header or could be empty. In case this is successful this is followed by a CMAF Header and the rest of the fragments in the CMAF stream. At the end of the session, for tear down the source may send an empty `mfra` box to close the connection and Publishing point. This is then followed with a zero length chunk, allowing the receiver to send a response, the encoder can follow up by closing the TCP connection using a FIN command as defined in HTTP RFC7235. This last step is especially important in long running posts using chunked transfer encoding, as in this case the receiver may not know that the connection needs to be closed or that the HTTP POST command is over.

Figure 8: CMAF ingest flow
5.2. General Protocol and Track Format Requirements

The ingest source transmits media content to the receiving entity using HTTP POST. The receiving entity listens for content on a POST_URL that is known by both the ingest source and receiving entity. The POST_URL may contain a basepath corresponding to a domain name and relative path, as setup by the receiving entity and a domain naming system. An extended path to identify the stream name or fragment may be added by the ingest source. It is assumed that the ingest source can retrieve these paths and resolve them to IP addresses. The POST_URL setup by a receiving entity can be referred to as a Publishing point and is used during a live stream session to receive live encoded content.

1. The Ingest source SHALL start by sending an HTTP POST request with the CMAF Header, or an empty request, by using the POST_URL. This can help the ingest source to quickly detect whether the publishing point is valid, and if there are any authentication or other conditions required.

2. The Ingest source MUST initiate a media ingest connection by posting the CMAF header after step 1.

3. The Ingest source SHOULD use the chunked transfer encoding option of the HTTP POST command [RFC7235] when the content length is unknown at the start of transmission or to support use cases that require low latency.

4. If the HTTP POST request terminates or times out with a TCP error, the Ingest source MUST establish a new connection, and follow the preceding requirements. Additionally, the Ingest source MAY resend the fragment in which the timeout or TCP error occurred.

5. The Ingest source MUST handle any error responses received from the Receiving entity, as described in general requirements, and by retransmitting the ftyp and moov boxes or the CMAF Header.

6. In case the Live stream session is over the ingest source SHALL signal the stop by transmitting an empty mfra box towards the Receiving entity. After that it SHALL send an empty HTTP chunk, Wait for the HTTP response before closing TCP session RFC7235 when this response is received.

7. The Ingest source SHOULD use a separate, parallel TCP connection for ingest of each different CMAF track.
8. The **Ingest source** MAY use a separate relative path in the **POST_URL** for ingesting each different track or track segment by appending it to the **POST_URL**. This can make it easy to detect redundant streams from different ingest sources.

9. The base media decode timestamps **basemedia decode time** in **tfdt** of fragments in the **CMAF stream** SHOULD arrive in increasing order for each of the fragments in the different tracks/streams that are ingested.

10. The fragment sequence numbers **seq num** of fragments in the **CMAF stream** signalled in the **tfhd** SHOULD arrive in increasing order for each of the different tracks/streams that are ingested. Using both timestamp **basemedia decode time** and **seq num** based indexing will help the Receiving entities identify discontinuities.

11. The average and maximum bitrate of each track SHOULD be signalled in the "**btrt**" box in the sample entry of the **CMAF header**.

12. In case a track is part of a **Switching set**, all properties section 6.4 and 7.3.4 of [MPEGCMAF] MUST be satisfied, enabling the receiver to group the tracks in the respective switching sets.

13. Ingested tracks MUST conform to **CMAF track structure** defined in [MPEGCMAF]. Additional constaints on the **CMAF track structure** are defined in the next sections.

14. **CMAF Tracks MAY use segmentTypeBox** to signal **CMAF Media object** brands like chunk, fragment, segment. Such signalling may also be inserted in a later stage by the receiving entity. A smart receiving entity can detect what type of media object is received from the information in the MovieFragmentBox.

---

5.3. Requirements for Formatting Media Tracks

**[MPEGCMAF]** has the notion of **CMAF Track** which are composed of **CMAF segment**, **CMAF fragment** **CMAF chunk**. A fragment can be composed of one or more chunks, while a segment can be composed of one or more fragments. The **Media fragment** defined in ISOBMFF predates the definition in **CMAF**. It is assumed that the ingest source uses HTTP POST to transmit a CMAF fragments to the receiving entity. The following are additional requirements are imposed to the formatting of media tracks.

1. Media tracks SHALL be formatted using boxes according to section 7 of **[MPEGCMAF]**. Boxes defined in section 7.4. which dictate boxes that are not compliant to **ISOBMFF** relating to encryption and DRM systems are not supported. Common encryption is not supported.

2. The **CMAF fragment** durations SHOULD be constant, the duration MAY fluctuate to compensate for non-integer frame rates. By choosing an appropriate timescale (a multiple of the frame rate is recommended) this issue should be avoided.

3. The **CMAF fragment** durations SHOULD be between approximately 1 and 6 seconds.

4. Media Tracks SHOULD use a timescale for video streams based on the framerate and 44.1 KHz or 48 KHz for audio streams or any another timescale that enables integer increments of the decode times of fragments signalled in the "**tfdt**" box based on this scale. If necessary, integer multiples of these timescales could be used.

5. The language of the **CMAF Track** SHOULD be signalled in the **mdhd** box or **elng** boxes in the **cmaf header**.

6. Media tracks SHOULD contain the bitrate **btrt** box specifying the target average and maximum bitrate of the fragments in the sample entry container in the **CMAF Header**.

7. Media tracks MAY comprise **CMAF chunks** [MPEGCMAF] 7.3.2.3. , in this case they SHOULD be signalled using SegmentTypeBox **styp** to make it easy for the Receiving Entity to differentiate them from **CMAF fragments**. The brand type of a chunk is **cmfl**. **CMAF chunks should only be signalled if they are not co-inciding with the start of a **CMAF fragment**. 

8. In Video tracks, profiles like **avc1** and **hvc1** MAY be used that signal the sequence parameter set in the **CMAF Header**. In this case these codec parameters do not change dynamically during the live session in the media
In live streaming a bundle of streams corresponding to a channel is ingested by posting to a Publishing point at the Receiving entity. CMAF has the notion of Switching Sets [MPEGCMAF] that map to similar streaming protocol concepts like Adaptation Set in [MPEGDASH]. To signal a switching set CMAF media tracks MUST correspond to the constraints defined in [MPEGCMAF] section 7.3.4.

Table 2 summarizes the CMAF Switching set constraints.

This table just serves to illustrate the concept in this specification. It was defined in [MPEGCMAF] and is provided here for instructive purposes.

5.4. Requirements for Signalling Switching Sets

In live streaming a bundle of streams corresponding to a channel is ingested by posting to a Publishing point at the Receiving entity. CMAF has the notion of Switching Sets [MPEGCMAF] that map to similar streaming protocol concepts like Adaptation Set in [MPEGDASH]. To signal a switching set CMAF media tracks MUST correspond to the constraints defined in [MPEGCMAF] section 7.3.4. Table 2 summarizes the CMAF Switching set constraints. This table just serves to illustrate the concept in this specification. It was defined in [MPEGCMAF] and is provided here for instructive purposes.

**Table 2: Switching set constraints based on [MPEGCMAF]. The [MPEGCMAF] specification prevails in case different**

<table>
<thead>
<tr>
<th>Box</th>
<th>General CMAF header constraints in a CMAF switching set</th>
</tr>
</thead>
<tbody>
<tr>
<td>ftyp</td>
<td>Shall be identical except for media profile brands (see 1 in 7.3.4.1)</td>
</tr>
<tr>
<td>mvhd</td>
<td>Shall be identical except for creation_time, and modification_time</td>
</tr>
<tr>
<td>tkhd</td>
<td>Shall be identical except for width, height, creation_time, and modification_time. See NOTE 1.</td>
</tr>
<tr>
<td>trex</td>
<td>identical</td>
</tr>
<tr>
<td>elst</td>
<td>Shall be identical except for video CMAF track files with a different composition offset</td>
</tr>
<tr>
<td>mdhd</td>
<td>Shall be identical except for creation_time, and modification_time</td>
</tr>
<tr>
<td>mehd</td>
<td>Global overview, targets duplicate presentations</td>
</tr>
<tr>
<td>meta</td>
<td>May contain different boxes and data</td>
</tr>
<tr>
<td>udta</td>
<td>May contain different boxes and data</td>
</tr>
<tr>
<td>cpri, kind, elng, hdlr, vmhd, smhd, sthd, dref</td>
<td>identical</td>
</tr>
<tr>
<td>stsd</td>
<td>Sample entries shall have the same codingname (four-character code)</td>
</tr>
</tbody>
</table>

**NOTE 1:** Track width and height can differ, but picture aspect ratio is the same for all CMAF tracks. **NOTE 2:** Sample entry constraints for CMAF switching sets are defined by each CMAF media profile

Aligned switching sets may also be signalled. Aligned switching sets follow the same constraints, yet the codec string in stsd may be different.

For additional signalling of CMAF tracks belonging to the same switching set, the ingest source MAY set the alternate_group value in the TrackHeaderBox tkhd to a value that is the same for tracks belonging to the same
switching set. This allows explicit signalling of tracks that do apply to switching set constraints but do not belong to the same switching set. Alternatively, this may enable easy identification of a switching set. Alternatively one could signal switching explicitly by means outside of this specification. An example could be as

5.5. Requirements for Timed Text, Captions and Subtitle Tracks

The live media ingest specification follows requirements for ingesting a track with timed text, captions and/or subtitle streams. The recommendations for formatting subtitle and timed text track are defined in [MPEGCMAF] and [MPEG 4-30]. These define a sparse fragmented structure for subtitles and timed text based on webVTT, TTML XML, TTML Images, CTA-608. We provide a few additional guidelines and best practices for formatting timed text and subtitle tracks.

1. CMAF Tracks carrying WebVTT signalled by cwvt brand or TTML Text signalled by im1t brand are preferred. [MPEG4-30] defines the track format selected in [MPEGCMAF]

2. Based on this [ISOBMFF], the trackhandler "hdlr" SHALL be set to "text" for WebVTT and "subt" for TTML following [MPEG4-30] (note this is not explicitly referred in the CMAF specification Table 3 but follows from the ISOBMFF specification)

3. The ftyp box in the CMAF Header for the track containing timed text, images, captions and sub-titles MAY use signalling using CMAF profiles based on [MPEGCMAF]


3b. TTML IMSC1 Text Specified in 11.3.3 [MPEG4-30] IMSC1 Text Profile im1t

3c. TTML IMSC1 Image Specified in 11.3.4 [MPEG4-30] IMSC1 Image Profile im1i

4. The BitRateBox btrt SHOULD be used to signal the average and maximum bitrate in the sample entry box, this is most relevant for bitmap or xml based timed text subtitles that may consume significant bandwidths (e.g. im1i)

5. In case the language of a track changes, a new CMAF Header with updated mdhd and/or elng SHOULD be send from the ingest source to the Receiving entity.

6. Track roles can be signalled in the ingest, by using a kind box in udta box. The kind box MUST contain a schemekUri MPEG um:mpeg:dash:role:2011 and a value containing a Role as defined in [MPEGDASH]

Note: [MPEGCMAF] allows multiple kind boxes, hence multiple roles can be signalled. By default one should signal the DASH role um:mpeg:dash:role:2011. A receiver may derive corresponding configuration for other streaming protocols such as HLS [RFC8216]. In case this is not desired, additional kind boxes with corresponding schemekUri values can be used to explicitly signal this kind of information. Subschemes can be signalled in the schemekUri as schemekUri@value.

An informative scheme of defined roles in MPEG DASH and respective corresponding roles in HLS [RFC8216] can be found below, additionally the forced subtitle in HLS might be derived from a DASH forced subtitle role aswells by a Receiving Entity

Table 3: Roles for subtitle and Audio tracks and HLS Characteristics

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>transcribes-spoken-dialog</td>
<td>subtitle</td>
</tr>
<tr>
<td>easy-to-read</td>
<td>easyreader</td>
</tr>
<tr>
<td>describes-video</td>
<td>description</td>
</tr>
<tr>
<td>describes-music-and-sound</td>
<td>caption</td>
</tr>
</tbody>
</table>
MPEG DASH roles are defined in urn:mpeg:dash:role:2011 [MPEGDASH]. Additionally, another example for explicitly signalling roles could be DVB DASH [DVBDASH]. One could use schemeIdUri@value and role as defined there, e.g. kind.schemeIdUri="urn:tva:metadata:cs:AudioPurposeCS:2007@1" kind.value="Alternate".

### 5.6. Requirements for Timed Metadata Tracks

This section discusses the specific formatting requirements for CMAF ingest of timed metadata related to events and markers for ad insertion or other timed metadata such a program information. An example of these are opportunities for splice points and program information signalled by SCTE-35 markers. This type of event signalling is different from regular audio/video information because of its sparse nature. In this case, the signalling data usually does not happen continuously, and the intervals can be hard to predict.

Examples of timed metadata are ID3 tags [ID3v2], SCTE-35 markers [SCTE35] and DASH emsg messages defined in section 5.10.3.3 of [MPEGDASH]. In addition, any other metadata can be signalled in an EventMessageBox emsg, by providing a schemeIdUri to identify the scheme, and the metadata embedded as samples in mdat.

Table 4 provides some example urn schemes to be signalled. Table 5 illustrates an example of a SCTE-35 marker stored in a DASH EventMessageBox, that is in turn stored as a metadata sample.

The presented approach enables ingest of timed metadata from different sources, possibly on different locations by embedding them in sparse metadata tracks. In this approach metadata are not interleaved with the media as for example the case in emsg boxes in [MPEGCMAF].

By embedding the emsg structure in samples the benefits of its usages in DASH and CMAF are kept. In this case the URIMetaSample entry SHOULD be mpeg:dash:event:2012, or another URN signalling the presence of DASHEventMessageBoxes, while the SchemeIdUri in the EventMessageBox could be used to signal schemes of the messageData payload in the EventMessage. In addition, the id enables detecting duplicate events, and value allows identification of the subscheme or other scheme specific information.

Table 4: Example URN schemes for timed metadata tracks

<table>
<thead>
<tr>
<th>SchemeIdURI</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>urn:mpeg:dash:event:2012</td>
<td>[MPEGDASH], 5.10.4 subtitle</td>
</tr>
<tr>
<td>urn:dvb:iptv:cpm:2014</td>
<td>[DVBDASH], 9.1.2.1</td>
</tr>
<tr>
<td><a href="http://www.nielsen.com:id3:v1">www.nielsen.com:id3:v1</a></td>
<td>Nielsen ID3 in MPEG-DASH [ID3v2]</td>
</tr>
</tbody>
</table>

Table 5: Example of a SCTE-35 marker embedded in a DASH eventmessagebox

<table>
<thead>
<tr>
<th>Tag</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>scheme_uri_id</td>
<td>urn:scte:scte35:2013:bin</td>
</tr>
<tr>
<td>Value</td>
<td>value used to signal subscheme</td>
</tr>
<tr>
<td>Timescale</td>
<td>positive number, ticks per second, similar to track timescale</td>
</tr>
<tr>
<td>presentation_time_delta</td>
<td>non-negative number, splice time compared to tfdt</td>
</tr>
<tr>
<td>event_duration</td>
<td>duration of event &quot;0xFFFFFFFF&quot; if unknown</td>
</tr>
<tr>
<td>id</td>
<td>unique identifier for message</td>
</tr>
</tbody>
</table>
Alternatively, a version 1 of the DashEventMessageBox with absolute timing could be used, where the presentation time is added as a 64-bit integer. In this case care must be taken not to signal events in the past or far in the future.

The active Receiving entity can insert metadata in any of the switching sets, embedded as DashEventMessageBoxes, for playout using MPEG-DASH. The metadata encapsulated in the DashEventMessageBox is assumed to relate to the media presentation (e.g. program information, splice information, chapter information) and not to a specific track. For track specific metadata other structures like the CMAF header can be used. Configuration of the Receiving entity on how to handle the metadata/EventMessageBoxes is out of scope of current document. More information about this will be given in supplemental documents on implementation guidelines and best practices. An example default behavior could be to embed the metadata events in eventmessages and encapsulate these in each audio track for delivery.

The following steps are recommended for timed metadata ingest related to events, tags, ad markers and program information:

1. Metadata SHALL be conveyed in a CMAF track, where the media handler (hdlr) is "meta", the track handler box is a null media header box nmhd.
2. The metadata track applies to the media streams ingested to a Publishing point entry at the media processing entity or origin server.
3. The URIMetaSampleEntry entry SHALL contain, in a URImbox, the URI following the URI syntax in [RFC3986] defining the form of the metadata (see the ISO Base media file format specification [ISOBMFF]).
4. The URIMetaSampleEntry SHOULD contain the URN "urn:mpeg:dash:event:2012" or an equivalent urn to signal the presence of dash event message boxes.
5. The timescale of the metadata (e.g. EventMessageBoxes) SHOULD match the value specified in the media header box "mdhd" of the metadata track.
6. The Event Received Time MAY be signalled by the "tfdt" box of the track fragment as the basemediadecode time. This is the time when the metadata will be first received when it is played out as in-band event.
7. The Event Presentation Time MUST be signalled as presentation time of the metadata sample.
8. The timed metadata track SHALL not contain gaps. Empty samples, and fragments with empty samples SHOULD be used to fill the timeline to avoid timeline gaps or 32 bit duration overflow for large timescales.
9. Empty samples MAY be used to signal difference between Event Received Time and Event Presentation Time in the track fragments.
10. The duration of the sample SHOULD correspond to the duration of the metadata (EventMessageBox) or event if the metadata is valid for a duration of time (if applicable).
11. All Timed Metadata samples SHOULD be sync samples [ISOBMFF], defining the entire set of metadata for the time interval they cover. Hence, the sync sample table box SHOULD not be present.
12. The payload is conveyed in the mdat box as samples.
13. A metadata sample MAY contain multiple DASHEventMessageBoxes, for example if they have the same Event Presentation Time.
14. The schemeIdUri in the DASHEventMessageBox can be used to signal the scheme of the data embedded in the message data field of the DASHEventMessageBox.
15. For SCTE-35 ingest the schemeIdURI in the DASHEventMessageBox MUST be urn:scte:scte35:2013:bin as defined in [SCTE214-1], a binary scte-35 payload in a DASHEventMessageBox. The DASHEventMessageBox must conform to [SCTE214-1] and the messageData field SHALL contain a binary SCTE-35 payload. In this case, media tracks MUST insert an IDR (intra decoder refresh frame) at time corresponding to the event presentation time.
16. In some cases, the duration of the metadata may not be known, in this case the sample duration could be set to 0xFFFFFFFF unkown duration and updated later when the timestamp of the next metadata sample is received.

17. The ingest source SHOULD not embed inband event message boxes emsg in the ingest stream, however it is not strictly prohibited when conforming to this specification. In some setups, inband event messages may be used in media tracks.

Note: [MPEGCMAF] has the notion of an inband DASH event message box to convey metadata and event messages. In the current specification a separate track is used instead to convey such information. Advantages include avoiding sending duplicate information in multiple tracks, and avoiding a strong dependency between media and metadata by interleaving them. The ingest source SHOULD NOT send inband emsg box and the receiver SHOULD ignore it.

5.7. Requirements for Receiving and ingest source Entity Failover and Connection Error Handling

Given the nature of live streaming, good failover support is critical for ensuring the availability of the service. Typically, media services are designed to handle various types of failures, including network errors, server errors, and storage issues. When used in conjunction with proper failover logic from the ingest sources side, highly reliable live streaming setups can be built. In this section, we discuss requirements for failover scenarios. The following steps are required for an ingest source to deal with a failing receiving entity.

The CMAF ingest source and receiving entity should implement the following recommendation to achieve failover support of the receiving entity.

1. In case the receiving entity failed, a new instance SHOULD be created, listening on the same Publishing point for the ingest stream.

Live encoder or ingest source failover is the second type of failover. In this scenario, the error condition occurs on the ingest source side. The following expectations apply:

2. A new ingest source instance SHOULD be instantiated to continue the ingest

3. The ingest source MUST use the same URL for HTTP POST requests as the failed instance.

4. The new ingest source POST request MUST include the same CMAF Header or CMAF Header as the failed instance.

5. The ingest source MUST be properly synced with all other running ingest sources for the same live presentation to generate synced audio/video samples with aligned fragment boundaries. This implies that UTC timestamps for fragments in the "tfdt" match between decoders, and encoders. In addition, fragment boundaries need to be appropriately synchronized.

6. The new stream MUST be semantically equivalent with the previous stream, and interchangeable at the header and media fragment levels.

7. The new instance of ingest source SHOULD try to minimize data loss. The basemediadecodetime tfdt of media fragments SHOULD increase from the point where the encoder last stopped. The basemediadecodetime in the tfdt box SHOULD increase in a continuous manner, but it is permissible to introduce a discontinuity, if necessary. Receiving entities can ignore fragments that it has already received and processed, so it is better to error on the side of resending fragments than to introduce discontinuities in the media timeline.

6. Ingest Interface 2: DASH and HLS Ingest Protocol Behavior

Interface 2 defines the protocol specific behavior required to ingest a Streaming presentation composed of Manifest objects and Media objects to receiving entities. In this mode, the ingest source prepares and delivers to the receiving entity all the Objects intended for consumption by a client. These are complete Streaming presentation including all
manifest and media objects.

This interface is intended to be used by workflows which do not require active media processing post encoding. It leverages the fact that many encoders provide HLS and DASH packaging capabilities and that the resulting packaged content can easily be transferred via HTTP to standard web servers. However, neither HLS nor DASH has specified how such a workflow is intended to work leaving the industry to self specify key decisions such as how to secure and authenticate ingest sources, who is responsible for managing the content life cycle, the order of operations, failover, robustness, etc. In most cases a working solution can be had using a readily available web server such as Nginx or Varnish and the standard compliment of HTTP Methods. In many cases Interface 2 simply documents what is considered industry best practice while attempting to provide guidance to areas less common.

The requirements below encapsulate all needed functionality to support Interface 2. The requirements listed for Interface 1 (CMAF Ingest) in section § 5.2 General Protocol and Track Format Requirements do not apply to Interface 2. General shared requirements are covered in section general. In case [MPEGCMAF] media is used, the media track and segment formatting will be similar as defined in Interface 1.

6.1. General requirements

6.1.1. Industry Compliance

1. The Ingest source MUST be able to create a compliant Streaming presentation for MPEG-DASH [MPEGDASH] and/or HTTP Live Streaming [RFC8216]. The Ingest Source MAY create both MPEG-DASH and HLS Streaming Presentations using common Media objects (e.g., CMAF), but the Ingest Source MUST generate format-specific Manifest Objects which describe the common Media objects as a Streaming presentation.

2. The Ingest source MUST support the configuration and use of Fully Qualified Domain Names (per RFC8499) to identify the Receiving entity.

3. The Ingest source MUST support the configuration of the path which it will POST or PUT all the Objects to.

4. The Ingest source SHOULD support the configuration of the delivery path which clients will use to retrieve the content. When provided, the Ingest source MUST use this path to build absolute URLs in the Manifest Files it generates. When absent, relative pathing is assumed and the Ingest Source MUST build the Manifest Files accordingly.

These capabilities are further illustrated in the Examples sections, and may be defined outside the scope of this specification.

6.1.2. HTTP sessions

1. The Ingest Source MUST transfer Manifest objects and Media objects to the Receiving entity via individual HTTP 1.1 [RFC7235] PUT or POST operations to the configured path. This specification does not imply any functional differentiation between a PUT or a POST operation. Either may be used to transfer content to the Receiving entity. Unless indicated otherwise, the use of the term POST can be interpreted as PUT or POST.

2. The Ingest Source SHOULD remove Media objects from the Receiving entity which are no longer referenced in the corresponding Manifest objects via an HTTP DELETE operation. How long the Ingest Source waits to remove unreferenced content MAY be configurable. Upon receipt of a DELETE request, the Receiving entity should:

   2a. delete the referenced content and return a 200 OK HTTP Response code

   2b. delete the corresponding folder if the last file in the folder is deleted and it is not a root folder but not necessarily recursively deleting empty folders.

3. To avoid delay associated with the TCP handshake, the Ingest Source SHOULD use Persistent TCP connections.
4. To avoid head of line blocking, the Ingest Source SHOULD use Multiple Parallel TCP connections to transfer the streaming presentation that it is generating. For example, the Ingest Source SHOULD POST each representation in a Media Presentation over a different TCP connection.

5. The ingest source SHOULD use the chunked transfer encoding option of the HTTP POST command [RFC7235] when the content length of the request is unknown at the start of transmission or to support use cases that require low latency.

6.1.3. Unique segment and manifest naming

1. The Ingest Source MUST ensure all Media objects (video segments, audio segments, initialization segments and caption segments) have unique paths. This uniqueness applies across all ingested content in previous sessions, as well as the current session. This requirement ensures previously cached content (i.e., by a CDN) is not inadvertently served instead of newer content of the same name.

2. The Ingest Source MUST ensure all objects in a Live stream session are contained within the configured path. Should the Receiving entity receive Media objects outside of the allowed path, it SHOULD return an HTTP 403 Forbidden response.

3. For each live stream session, the Ingest Source MUST provide unique paths for the Manifest objects. One suggested method of achieving this is to introduce a timestamp of the start of the live stream session into the manifest path. A session is defined by the explicit start and stop of the encoding process.

4. When receiving objects with the same path as an existing object, the Receiving entity MUST over-write the existing objects with the newer objects of the same path.

5. To support unique naming and support consistency, the Ingest Source SHOULD include a number which is monotonically increasing with each new Media Object at the end of Media object’s name, separated by a non-numeric character. This way it will be possible to retrieve this numeric suffix via a regular expression.

6. The Ingest Source MUST identify Media objects containing initialization fragments by using the .init file extension.

7. The Ingest source MUST include a file extension and a MIME-type for all Media objects. The following file extensions and MIME-types are the ONLY permissible combinations to be used:

Table 6 outlines the formats that media and manifest objects are expected to follow based on their file extension.

Segments may be formatted as MPEG-4 [ISOBMFF].mp4, .m4v, m4a, CMAF [MPEGCMAF].cmf[v.a.m.t], or MPEG2TS .ts (HLS only). Manifests may be formatted as MPEGDASH .mpd or HLS [RFC8216].m3u8.

NOTE: using MPEG-2 TS will break consistency with interface 1 which uses a CMAF container format structure.

Table 6:

<table>
<thead>
<tr>
<th>File Extension</th>
<th>MIME Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>.m3u8 [RFC8216]</td>
<td>application/x-mpegURL or vnd.apple.mpegURL</td>
</tr>
<tr>
<td>.mpd [MPEGDASH]</td>
<td>application/dash+xml</td>
</tr>
<tr>
<td>.cmfv [MPEGCMAF]</td>
<td>video/mp4</td>
</tr>
<tr>
<td>.cmfa [MPEGCMAF]</td>
<td>audio/mp4</td>
</tr>
<tr>
<td>application/mp4</td>
<td></td>
</tr>
<tr>
<td>.cmfm [MPEGCMAF]</td>
<td>application/mp4</td>
</tr>
<tr>
<td>.mp4 [ISOBMFF]</td>
<td>video/mp4 or application/mp4</td>
</tr>
</tbody>
</table>
6.1.4. DNS lookups

DNS lookup requirements are defined in the general protocol requirements section §4 General Ingest Protocol Behavior.

6.1.5. Ingest source identification

1. The Ingest source SHOULD include a User-Agent header (which provides information about brand name, version number, and build number in a readable format) in all allowed HTTP messages. The Receiving entity MAY log the received User-Agent, along with other relevant HTTP Header data to facilitate troubleshooting.

6.1.6. Additional Failure behaviors

The following items defines additional behavior of an ingest source when encountering certain error responses from the receiving entity.

1. When the ingest source receives a TCP connection attempt timeout, abort midstream, response timeout, TCP send/receive timeout or 5xx response when attempting to POST content to the Receiving entity, it MUST
   1a. For manifest objects: re-resolve DNS on each retry (per the DNS TTL) and retry as defined in general.
   1b. For media objects: re-resolve DNS on each retry (per the DNS TTL) and continue uploading for n seconds, where n is the segment duration. After it reaches the media object duration value, the Ingest source MUST continue with the next media object, updating the manifest object with a discontinuity marker appropriate for the protocol format. To maintain continuity of the time-line, the ingest source SHOULD continue to upload the missing media object with a lower priority. The reason for this is to maintain an archive without discontinuity in case the stream is played back at a later time. Once a media object is successfully uploaded, the ingest source SHOULD update the corresponding manifest object to reflect the now available media object. Note that many HLS clients do not like changes to manifest files, such as removing a previously present discontinuity, so this should only be applied for MPEG DASH manifests.

2. Upon receipt of an HTTP 403 or 400 error, the ingest source MAY be configured to NOT retry sending the fragments, hence N will be 0, as described in general.

6.2. HLS specific requirements

6.2.1. File extensions and mime-types

When ingesting prepared HLS content, the Ingest Source MUST:

1. MUST use a .m3u8 file extension for master and variant playlists.
2. SHOULD use a .key file extension for any keyfiles posted to the receiving entity for client delivery.
3. MUST use a ".ts" file extension for segments encapsulated in a MPEG Transport Stream (TS) File Format.

4. MUST use one of the allowed file extensions (per the table above) appropriate for the mime-type of the content encapsulated using [MPEGCMAF], it MUST NOT use a ".ts" file extension.

6.2.2. Upload order

In accordance with the HTTP live Streaming [RFC8216] recommendation, ingest sources MUST upload all required files for a specific bitrate and segment before proceeding to the next segment. For example, for a bitrate that has segments and a playlist that updates every segment and key files, ingest sources upload the segment file followed by a key file (optional) and the playlist file in serial fashion. The encoder MUST only move to the next segment after the previous segment has been successfully uploaded or after the segment duration time has elapsed. The order of operation should be:

1. Upload media segment,
2. Optionally upload key file, if required,
3. Upload the .m3u8.

If there is a problem with any of the Steps, retry them. Do not proceed to Step 3 until Step 1 succeeds or times out as described in common failure behaviors above. Failed uploads MUST result in a stream manifest Discontinuity per [RFC8216].

6.2.3. Encryption

1. The ingest source MAY choose to encrypt the media segments and publish the corresponding keyfile to the Receiving entity.

6.2.4. Relative paths

1. The ingest source SHOULD use Relative URL paths to address each segment within the stream level manifest.
2. The ingest source SHOULD use Relative URL paths to address each variant playlist within the master playlist.

6.2.5. Resiliency

1. When ingesting media objects to multiple receiving entities, the ingest source MUST send identical media objects with identical names.
2. When multiple ingest sources are used, they MUST use consistent media object names including when reconnecting due to any application or transport error. A common approach is to use (epoch time)/(segment duration) as the object name.

6.3. DASH-specific requirements

6.3.1. File extensions and MIME-types

When ingesting prepared DASH content, the Ingest Source MUST:

1. use an ".mpd" file extension for manifest objects.
2. use one of the allowed file extensions (see table 6 above) for Media objects. It MUST NOT use a ".ts" file extension.
6.3.2. Relative paths

1. The ingest source SHOULD use Relative URL paths to address each segment within the manifest object.

7. Illustrative Example of using CMAF and DASH ingest specification (informative)

In this section we provide some example deployments for live streaming, mapping to the architecture defined in DASH-IF live Task Force using the emerging MPEG DASH CMAF profile.

7.1. Example 1 with CMAF ingest and a just-in-time packager

Figure 9 shows an example where a separate packager and origin server are used.

Figure 9: Example setup schema with CMAF ingest and DASH/HLS ingest

The broadcast source is used as input to the live ABR encoder. The broadcast sources can be original SDI signals from a broadcast facility or TS streams intercepted from a broadcast that need to be re-used in an OTT distribution workflow. The live ABR encoder source performs the ABR encoding of the tracks into CMAF tracks and functions as the ingest source in the CMAF ingest interface. Multiple live ABR encoder sources can be used, providing redundant inputs to the packager, which is the Receiving entity consuming the CMAF ingest. The ingest follows the CMAF Ingest specification in this document, allowing for failover, redundancy and many of the other features related to the content tracks. The live encoder source performs the following tasks:

- It demuxes and receives the MPEG-2 Transport Stream and/or SDI signal.
- It formats the metadata in these streams such as SCTE-35 or SCTE-104 to timed metadata tracks.
- It performs a high quality ABR encoding in different bit-rates with aligned switching points.
- It packages all media and timed text tracks as CMAF-compliant tracks and signals track roles in kind boxes.
- It POSTs the addressable media objects composing the tracks to the live packager according to the CMAF ingest specification interface defined in Section 5 of this document.
- The CMAF ingest allows multiple live encoder sources and packagers to be deployed benefiting from redundant stream creation, avoiding timeline discontinuities due to failures as much as possible.
- In case the receiver entity fails, it will reconnect and resend as defined in § 4 General Ingest Protocol Behavior and § 5.7 Requirements for Receiving and ingest source Entity Failover and Connection Error Handling.
- In case the live encoder ingest source fails it will restart and perform the steps as in § 5.7 Requirements for Receiving and ingest source Entity Failover and Connection Error Handling.

The live encoder ingest source can be deployed in the cloud or on a bare metal server or even as a dedicated hardware. The live encoder source may have some tools or configuration APIs to author the CMAF tracks and feed instruction/properties from the original SDI or broadcast into the CMAF tracks. The packager receives the ingested streams, and performs the following tasks:

- It receives the CMAF tracks, grouping switching sets based on switching set constraints.
When packaging to MPEG-DASH, an adaptation set is created for each switching set ingested.

The near constant fragment duration is used to generate segment template based presentation using either $Number$ or $Time$.

In case changes happen, the packager can update the manifest and embed in-band events to trigger manifest updates in the fragments.

The DASH packager encrypts media segments according to key information available. This key information is typically exchanged by protocols defined in Content Protection Interchange Format (CPIX). This allows configuration of the content keys, initialization vectors and embedding encryption information in the manifest.

The DASH packager signals subtitles in the manifest based on received CMAF streams and roles signalled in kind box.

In case a fragment is missing and SegmentTimeline is used, the packager may signal a discontinuity in the Media Presentation Description.

In case a low latency mode is used, the packager may make output available before the entire fragment is received using HTTP chunked transfer encoding.

The packager may also have a proprietary API similar to the live source, for configuration of aspects like the segmentTimeBuffer, DVR window, encryption modes enabled etc.

The packager uses DASH or HLS ingest (as specified in Section 6) to push content to the origin server of a Content Delivery Network. Alternatively, it could also make content directly available as an origin server. In this case DASH/HLS ingest is avoided, and the packager also serves as the origin server.

The packager converts the timed metadata track and uses it to convert to either MPD Events or in-band events signalled in the manifest.

The packager may also generate HLS or other streaming media presentations based on the input.

In case the packager crashes or fails, it will restart itself and wait for the ingest source to perform the actions as detailed in the section on failover.

The Content Delivery Network (CDN) consumes a DASH/HLS ingest, or serves as a proxy for content delivered to a client. The CDN, in case it is consuming the POST based DASH/HLS ingest performs the following tasks:

- it stores all posted content and makes them available for HTTP GET requests from locations corresponding to the paths signalled in the manifest
- it occasionally deletes content based on instructions from the ingest source, in this setup the packager
- in case low latency mode is used, content could be made available before the entire pieces of content are available
- it updates the manifest accordingly when a manifest update is received
- it serves as a cache proxy for HTTP get requests forwarded to the packager

In case the CDN serves as a proxy, it only forwards requests for content to the packager to receive the content, and caches relevant segments for a duration N until it expires.

The client receives DASH or HLS streams, and is not affected by the specification of this work. Nevertheless, it is expected that by using a common media application format, less caching and less overhead in the network will result in a better user experience. The client still needs to retrieve license and key information by steps defined outside of this specification. Information on how to retrieve this information will typically be signalled in the manifest prepared by the packager.

This example aims to illustrate how the specification defined in this document can be used to provide a live streaming presentation to clients, this example does not preclude other ways of using the specification and protocols defined in this document.
7.2. Example 2 low latency dash with an open source encoder and packager and a combination of interface 1 and 2

A second example can be seen in Figure 10. It constitutes the reference workflow for chunked DASH CMAF under development by DASH-IF and DVB. In this workflow a contribution encoder produces an RTP mezzanine stream that is transmitted to FFmpeg, an example open source encoder/packager running on a server. Alternatively, a file resource may be used. In this workflow the open source encoder functions as the ingest source. FFmpeg produces the ingest stream with different ABR encoded CMAF tracks. In addition, it also sends a manifest that complies with DASH-IF and DVB low latency CMAF specification and MPD updates. The CMAF tracks also contain respective timing information (prft etc.). In this case the ingest source implements interface 2 DASH ingest. But as in this case the DASH presentation uses CMAF, the media and track constraints of interface 1 are also satisfied. By also resenting CMAF Headers in case of failures both interfaces may be satisfied.

The origin server is used to pass the streams to the client, and may in some cases also perform a re-encryption or re-packaging of the streaming presentation as needed by the client (in case encryption is needed for example). The target example client is DASH.js and an end-to-end latency of maximum 3500 ms is targeted.

This example DASH reference workflow uses DASH Ingest that does not employ encryption and timed metadata and uses CMAF formatting. This exploits the synergies between the two interfaces defined in this document hence the ingest between FFmpeg and the origin server may implement both interfaces simultaneously, or only interface 2.

To receive the stream as a CMAF ingest for re-packaging at the origin the following steps can be applied. This is the case where interface 1 and interface 2 are used interchangeably, hence the live encoder can either ingest to an origin that supports interface 2 with CMAF formatting, including the requirements from interface 1.

1. Ignore the DASH Manifest
2. Ignore the segment names, only look at the relative path to identify the stream names
3. Ignore the HTTP Delete commands

The approaches for authentication and DNS resolution are similar for the two profiles/interfaces, as are the track formatting in case CMAF based media are used. This example does not use timed metadata. The ingest source may resend the CMAF header or init fragment in case of connection failures to conform to the CMAF ingest specification. The origin server can then be used to repackage or re-encrypt the streams. This might be useful approach when additional functionality is needed.

To receive the stream as a DASH Ingest in this workflow, the steps described in DASH Ingest may be applied.

Figure 10: DASH-IF Reference DASH-IF Live Chunked CMAF Production Workflow

8. IANA Considerations

This memo includes no request to IANA.

9. Acknowledgements

We thank the contributors to draft and the support from the following companies: Huawei, Akamai, BBC R&D, CenturyLink, Microsoft, Unified Streaming, Facebook, Hulu, Comcast, ITV, Qualcomm, Tencent, Samsung, MediaExcel, Harmonic, Sony, Arris, BitMovin, DSR and AWS Elemental.
10. References

10.1. URL References

fmp4git Unified Streaming github fmp4 ingest, "https://github.com/unifiedstreaming/fmp4-ingest".

#Intermediate_compatibility_28default.29 (last accessed 30th of March 2018)


Conformance

Conformance requirements are expressed with a combination of descriptive assertions and RFC 2119 terminology. The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in the normative parts of this document are to be interpreted as described in RFC 2119. However, for readability, these words do not appear in all uppercase letters in this specification.

All of the text of this specification is normative except sections explicitly marked as non-normative, examples, and notes. [RFC2119]

Examples in this specification are introduced with the words “for example” or are set apart from the normative text with class="example", like this:

EXAMPLE 1
This is an example of an informative example.

Informative notes begin with the word “Note” and are set apart from the normative text with class="note", like this:

Note, this is an informative note.

Index

Terms defined by this specification

ABR
basemedia Decodetime
CMAF chunk
CMAF fragment
CMAF Header
CMAF Ingest
CMAF Media object
CMAF segment
CMAFstream
CMAF Track
Connection
DASH Ingest
eling
References

Normative References

[DVB-DASH]

[ID3v2]
ID3 tag version 2.4.0 - Main Structure. URL: http://id3.org/id3v2.4.0-structure

[ISO-639-2]